Support for Open Access and Open Research

Research-openaccess@glasgow.ac.uk

Open Research

The University of Glasgow strongly encourages the adoption of open research practices. Open research refers to practices that share research early and wide from different stages of the research process, such as methods, protocols, data, software, educational resources, reviews, and publications.

We can provide support for sharing many traditional and non-traditional outputs including publications, datasets, code, musical composition, film and much more.

We are here to help you with all aspects of open research

Contact us at: research-openaccess@glasgow.ac.uk

https://www.gla.ac.uk/myglasgow/openresearch/

Collaboration, Accessibility, Transparency and Reproducibility

Key Topics

- **Open Access to publications**
- **Research Publications and Copyright Policy**
- Research Data Management

Keeping up to date:
- The research culture commons
- Forthcoming Q&A sessions

What is Open Access?

Open Access is the principle that a research output should be presented in a form that allows anyone with internet access to search electronically within the text, read it and download it <u>without charge</u>.

The copyright holder of an output can make it open access by applying an open access licence to it.

For example, a journal article, book, or dataset with an open access licence can be accessed by anyone, and there will be no (or very few) restrictions on How people can reuse the item.

Publications - Routes to Open Access

Publisher version freely available (often paid for by author or their employer)

- Output is immediately freely available
- Usually payment of a fee - Article or Book Processing Charge (APC/BPC)
- Also known as 'gold' or route 1.

Accepted version freely available ('no cost')

- Deposit (usually accepted final version) in online repository
- Often an embargo period to making this visible
- Also known as 'green' or route 2

The Research Publications and Copyright Policy is a tool to support this option.

University direction - 'generally' choose the no cost option

University Policies

Our policies:
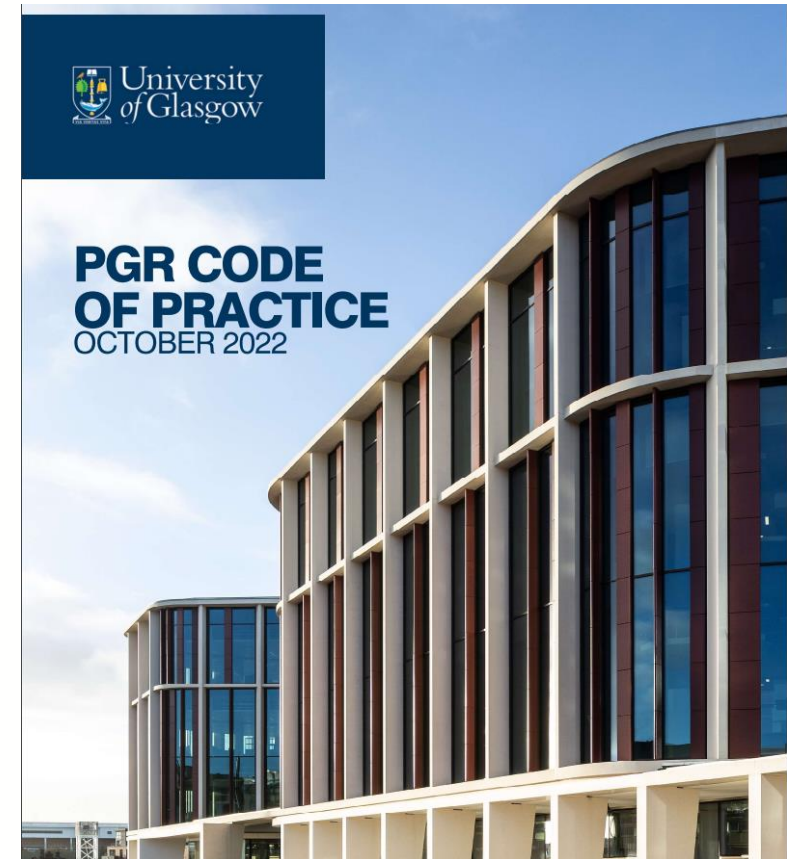https://www.gla.ac.uk/research/strategy/ourpolicies/

Code of Good Practice in Research:
https://www.gla.ac.uk/media/media_490311_en.pdf

Postgraduate Research Code of Practice:
http://www.gla.ac.uk/services/postgraduateresearch/pgrcodeofpractice/

Research Publications and Copyright Policy:
https://www.gla.ac.uk/research/strategy/ourpolicies/publications-policy

Research Excellence Framework

- **Original research**

- **First made publicly available between 1 Jan 2021-31 Dec 2028**

- **Has a substantive link to UofG, evidenced through an author's 'eligible employment relationship':**
  - Minimum 0.2 FTE continuous employment for at least 12 months
  - A role descriptor that included explicit expectation of research

- **Eligible employment relationship occurred either:**
  - At the point at which the submitted output was first made publicly available **OR**
  - Before the submitted output was made publicly available (ie. When the underpinning research was carried out at UofG; typically between Jan 2021-Dec2028 but may be extended 1 years' prior for most outputs and by 5 years' prior for longform/diverse outputs; tbc by REF panels)

- **Exclusions**
  - Sole authored papers where only substantive link is postgraduate research studentship, visiting/honorary staff post, teaching only contract (AEF1), or staff on contracts with no explicit expectation of research

- **Exception**
  - If a case can be made that the University supported research underpinning the output

REF Open Access Policy

REF Open Access (OA) requirements apply for journal articles and conference proceedings (but no requirements for longform outputs for REF2029).

Requirement	Article or conference proceeding published <u>on or before 31 Dec 2025</u>	Article or conference proceeding published <u>on or after 01 Jan 2026</u>
Deposit author's accepted manuscript (AAM) in a repository:	As soon as possible after **acceptance**, and no later than **3 months** after this date.	As soon as possible after **acceptance**, and no later than **3 months** after publication date.
Maximum allowable embargo periods	• **12 months** for Science, Technology & Medicine (Panel A/B) • **24 months** for Arts & Social Sciences (Panel C/D)	• **6 months** for Science, Technology & Medicine (Panel A/B) • **12 months** for Arts & Social Sciences (Panel C/D)
Open access requirement	Must be openly available.	**Open licence** e.g. from Creative Commons suite . Where the route is sharing the AAM **and** the publisher does not allow an open licence, that is permitted.

- **Follow the established <u>open access process at UofG.</u>** There are limited exceptions. If the AAM is supplied, the Library can release it after any publisher embargo.

- **Consider requirements pre-submission**; adding a rights retention statement at first submission can reduce risk of non-compliance.

- **Act upon acceptance** by sending the acceptance email and AAM to **<u>research-openaccess@glasgow.ac.uk</u>**

Open Access Licencing Requirements

'Outputs should be shared as openly as possible; the funding bodies' strong preference is for licensing **as CC-BY** or other license formats meeting this standard of openness. However, licensing outputs at **CC-BY-NC or CC-BY-ND** (including **CC-BY-NC-ND**) or licenses meeting an equivalent standard of openness are also permitted.

For the period 1 January 2026 to 31 December 2028 outputs shared by deposit (AAM), where subject to terms of a publication agreement limiting sharing and/or use of downloaded materials will not need to fully meet these licensing standards. They will however need to meet all other conditions for deposit, discovery and access. Application of an exception will not be required. From 1 January 2029 all future in-scope outputs will need to fully meet open licensing standards, subject to any permissible exceptions'

Until 31st December 2025	From 1st January 2026
Unlawful to deposit	Unlawful to deposit
Publication actively disallows OA deposit*	Publication actively disallows OA deposit*
Delay in securing final peer-reviewed text	Delay in securing final peer-reviewed text
Embargo period exceeds stated maxima*	Embargo period exceeds stated maxima*
Third-party content OA rights could not be granted	Third-party content licence could not be obtained at reasonable cost
	Output published after author left - not able to determine compliance
The repository experienced a failure that prevented compliance with the criteria	Other – for example personal circumstances, software issue
An external service provider failure	
It was not possible to secure use of a repository	
Staff member not employed on a volume contributing eligible contract by UK HEI at submission	
Depositing the output would present a security risk	
Staff member employed at different UK HEI, and not possible to determine compliance	
Output not deposited within three months of acceptance date but within three months of earliest date of publication.	
	Outputs by one or more staff ineligible for volume measure.

*and was the most appropriate publication for the output

Contribution to Knowledge and Understanding (50%)

UofG REF2029

What is UofG doing to prepare?

	2024	2025	2026	2027	2028
Outputs/ Contribution to Knowledge & Understanding	Outputs scoring and preparation for calibration exercises	Outputs Calibration	Ongoing scoring and draft CKU statement	CKU Review	REF2029

The processes for outputs selection are now being formalised, including:

- UoA's convening formal **Output Scoring Committees (OSC)**

- OSC's developing an **output scoring methodology** that details how they will approach output selection fairly and equitably.

- Launch of the **institutional outputs tool (PROMPT)** in May 2025, to track scoring and selection of outputs – further training sessions scheduled for Sept 2025

- **UoA outputs calibration exercises with external reviewers** to ensure OSC's are confident in understanding what constitutes a high-quality output for REF.

What do you need to do/consider?

- **Keep publishing your best work** - aim for quality over quantity

- **Consider the REF open access requirements and act on acceptance** - send author accepted manuscript to research-openacess@glasgow.ac.uk.

- **Ensure your outputs are in Enlighten –** this will make sure your outputs are pulled into PROMPT and be visible to the OSC for review.

- **Look out for CKU resources available on our UofG REF2029 intranet.**

Open Access Compliance Checking

- The open access team add relevant exceptions and codes to records as received
- UoA's responsible for some checks e.g. staff contract status
- UoA's should check they are content with the robustness of any codes suggested
- PROMPT selections tool for those who are making selections
- Open Access dashboard for those who are checking open access compliance
- Sensitive data training prerequisite https://moodle.gla.ac.uk/course/view.php?id=47719
- Drop-in sessions available to those managing Open Access compliance
- UoA's contact Open Access team to arrange a session to look at priority examples
- Compliance Checking Guide available within the tool and on the web
- Contact research-openaccess@glasgow.ac.uk for help and local presentation on open access

Ways to engage and where to find support

Research England REF Townhalls and Forums

UofG REF events calendar

Key contacts

- **REF leads:** contacts, roles and responsibilities

- **College support:** key contact and college impact teams

- **Open access team:** research-openaccess@glasgow.ac.uk

- **General REF queries:** ref@glasgow.ac.uk

Stay up to date

UofG REF2029 SharePoint site

- Info about UofG processes, REF roles and responsibilities
- External REF news and updates
- Updates from REF Project Board
- REF guidance and resources for CKU, E&I and PCE
- Calendar of events and training
- Contact details for colleagues involved in REF

https://gla.sharepoint.com/sites/uofgref
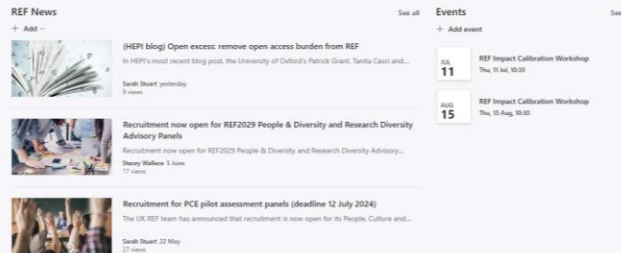
NEW: UofG 'REF Roundup' newsletter

Subscribe to our new monthly newsletter to receive REF2029 news and updates straight to your inbox.

Funder Requirements

PREPRINTS

https://www.gla.ac.uk/myglasgow/openresearch/openaccess/fundsforopenaccess/

https://www.ukri.org/publications/ukri-open-access-policy/

Acknowledge Funders (or that none)

Acknowledgement of funding should be a sentence with the funding agency written out in full, followed by the grant number in square brackets (if you have one). For example:

'This work was supported by the Medical Research Council [grant number xxxx]'.

Multiple grant numbers should be separated by comma and space. Where the research was supported by more than one agency, the different agencies should be separated by a semicolon, with "and" before the final funder. For example:

'This work was supported by the Wellcome Trust [grant numbers xxxx, yyyy]; the Arts and Humanities Research Council [grant number zzzz]; and the Engineering and Physical Sciences Research Council [grant number XXXX]'

Include a Data Availability Statement

All publications should include a data availability statement that explains where the data that support the publication can be found.

Some funders notably UKRI, Wellcome and Cancer Research UK mandate this.

A good data availability statement will include a Digital Object Identifier directing readers to the data in a repository.

If no data is available a data availability statement should indicate this.

University of Glasgow - MyGlasgow - Open Research - Open Access - Open Access Process at Glasgow - Availability of Materials

Publisher Arrangements

WILEY

ELSEVIER
NON SOLUS

Taylor & Francis
Taylor & Francis Group

Springer

Open Choice

PLOS

NOT GUARANTEED TO CONTINUE

CHECK WITH RESEARCH-OPENACCESS@GLASGOW.AC.UK IF AVAILABLE EACH TIME

University of Glasgow - MyGlasgow - Open Research – Open Access - Publisher Arrangements

https://www.gla.ac.uk/myglasgow/openresearch/openaccess/glasgowopenjournals/

Research Publications and Copyright Policy

University of Glasgow

Research

Strategy and policies

Our policies

Research Publications and Copyright Policy

→ Research Ethics

→ Openness in animal research

→ Code of Good Practice in Research

→ Export control

→ IP and commercialisation

→ Nagoya Protocol

→ Conflicts of interest

RESEARCH PUBLICATIONS & COPYRIGHT POLICY

The University is committed to ensuring wide access to the scholarly outputs from its research activities, in acknowledgement that making research outputs more readily available increases the potential for community engagement, citation, reuse and impact. Certain major research funders have introduced open access policies which require grant-holders to make their research outputs open access upon publication and to be openly licenced under a Creative Commons attribution licence (CC BY)).

The University therefore encourages, and in some cases requires, researchers to provide open access to published research, to meet its ambitions for open research, to enable compliance with research funders who mandate open access, and to ensure University policies align with the requirements of future research assessment exercises.

This *Research Publications and Copyright Policy* enables the University and its researchers to disseminate research results as widely as possible, supports and encourages good open access practices with respect to publications arising from University research activity and assists researchers to comply with funder open access requirements.

https://www.gla.ac.uk/research/strategy/ourpolicies/publications-policy/
research-openaccess@glasgow.ac.uk

About the policy

- The University is committed to ensuring wide access to research outputs.

- The new Research Publications and Copyright Policy applies to papers submitted from 1st September 2023

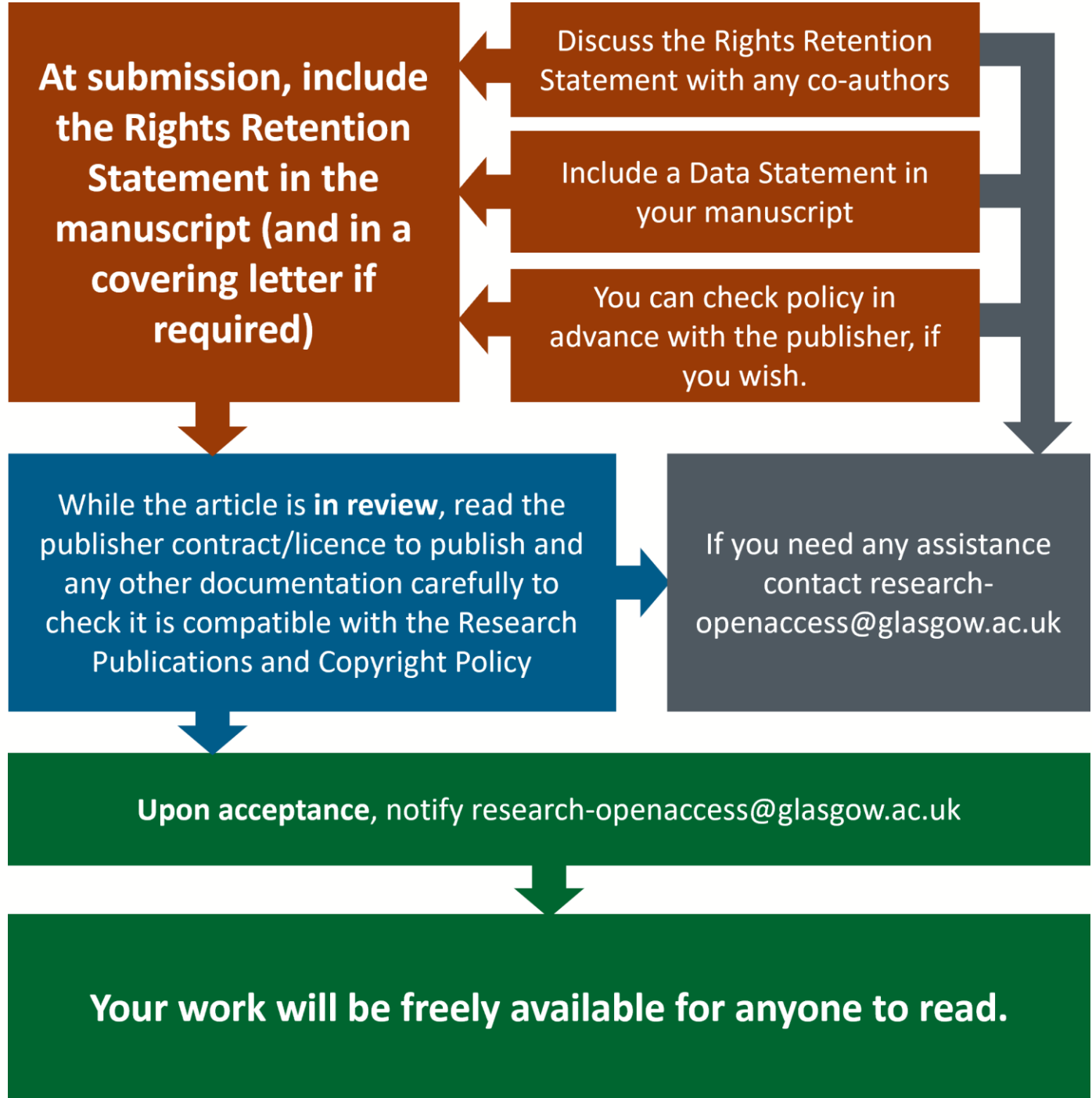- A tool to enable creators of research outputs to share the accepted version freely.

Rights Retention Statement:

For the purpose of open access, the author(s) has applied a Creative Commons Attribution (CC BY) licence to any Author Accepted Manuscript version arising from this submission.

What do researchers need to do?

Before submission.

Think about copyright options offered and costs.

https://www.gla.ac.uk/myglasgow/openresearch/openaccess/openaccessprocessatglasgow/

At submission, include the Rights Retention Statement in the manuscript (and in a covering letter if required)

Discuss the Rights Retention Statement with any co-authors

Include a Data Statement in your manuscript

You can check policy in advance with the publisher, if you wish.

While the article is **in review**, read the publisher contract/licence to publish and any other documentation carefully to check it is compatible with the Research Publications and Copyright Policy

If you need any assistance contact research-openaccess@glasgow.ac.uk

Upon acceptance, notify research-openaccess@glasgow.ac.uk

Your work will be freely available for anyone to read.

What does the library do?

- Check funder requirements

- Check the publisher copyright policy

- Advise on open access options case by case

- Arrange payment from funder sources if appropriate (page charges generally not eligible)

- Deposit manuscript and release after embargo

Enlighten: Publications: https://eprints.gla.ac.uk/331886/

Fluvial encounters: experimenting with a 'River's Voice' amidst light-based datafication

Lantto Klein, M., Dixon, D. P. (iD) and Williams, R. (iD) (2024) Fluvial encounters: experimenting with a 'River's Voice' amidst light-based datafication. *Progress in Physical Geography*, (doi: 10.1177/03091333241280543) (Early Online Publication)

Funder and Project Information

Project Code	Award No	Project Name	Principal Investigator	Funder's Name	Funder Ref	Lead Dept
190423		ESRC Doctoral Training Centre 2011...	Mary Beth Kneafsey	Economic and Social Research Council (ESRC)	ES/J500136/1	Research and Innovation Services

OA for long-form publications

- Required for outputs acknowledging UKRI Wellcome Trust, and European Commission

- Funds available subject to criteria

- VAT needs to be factored in

- Some exceptions may apply

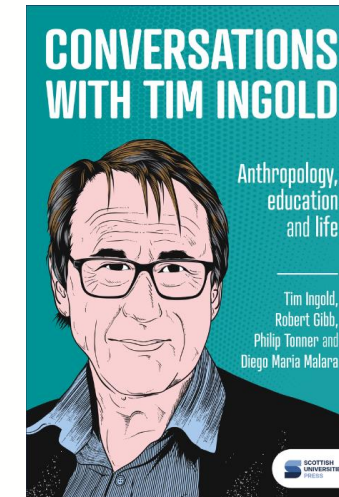Email us at research-openaccess@glasgow.ac.uk for advice

https://www.gla.ac.uk/myglasgow/openresearch/open access/openaccessbooks/

![University of Glasgow logo]

SCOTTISH UNIVERSITIES PRESS

A not-for-profit open access press owned by Scottish academic libraries

- SUP is accepting proposals for **monographs and edited collections in any subject**
- Books will be fully open access with CC-BY licences
- Option to buy print copies
- Rigorous peer review process
- Full publishing service
- Responding to UKRI and REF policy changes

CONVERSATIONS WITH TIM INGOLD

Anthropology, education and life

Tim Ingold, Robert Gibb, Philip Tonner and Diego Maria Malara

SCOTTISH UNIVERSITIES PRESS

Conversations with Tim Ingold
published October 2024
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www.sup.ac.uk

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https://www.oabooks-toolkit.org/

Contributor Roles Taxonomy (CRediT) Roles

Term	Definition
Conceptualization	Ideas; formulation or evolution of overarching research goals and aims.
Methodology	Development or design of methodology; creation of models.
Software	Programming, software development; designing computer programs; implementation of the computer code and supporting algorithms; testing of existing code components.
Validation	Verification, whether as a part of the activity or separate, of the overall replication/reproducibility of results/experiments and other research outputs.
Formal Analysis	Application of statistical, mathematical, computational, or other formal techniques to analyse or synthesize study data.
Investigation	Conducting a research and investigation process, specifically performing the experiments, or data/evidence collection.
Resources	Provision of study materials, reagents, materials, patients, laboratory samples, animals, instrumentation, computing resources, or other analysis tools.
Data Curation	Management activities to annotate (produce metadata), scrub data and maintain research data (including software code, where it is necessary for interpreting the data itself) for initial use and later re-use.
Writing – Original Draft	Preparation, creation and/or presentation of the published work, specifically writing the initial draft (including substantive translation).
Writing – Review & Editing	Preparation, creation and/or presentation of the published work by those from the original research group, specifically critical review, commentary or revision – including pre- or post-publication stages.
Visualization	Preparation, creation and/or presentation of the published work, specifically visualization/data presentation.
Supervision	Oversight and leadership responsibility for the research activity planning and execution, including mentorship external to the core team.
Project Administration	Management and coordination responsibility for the research activity planning and execution.
Funding Acquisition	Acquisition of the financial support for the project leading to this publication.

https://credit.niso.org/
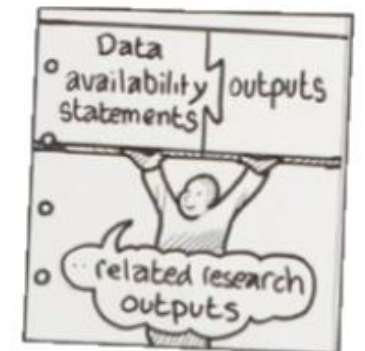https://rollercoaster.shinyapps.io/tenzing/

Research Data Management support

The Research Data Management service offers support and guidance on:

- Data management planning

- Data licensing

- Handling and sharing sensitive data

- Storing, organising and documenting data

- Depositing data/code/materials in repositories

Research Data Management webpages

Data Availability Statements - News Item

Questions?